



#5
9/15/02

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Heiko H. Ludwig, et al.

Examiner: Kevin S. Parton

Serial No.: 09/433,427

Art Unit: 2153

Filed: November 4, 1999

Docket: 12990

For: METHOD OF INTERCONNECTING
COMPUTERS AND COMPUTER NETWORK

Dated: August 29, 2002

RECEIVED

SEP 09 2002

Assistant Commissioner for Patents
Washington, D.C. 20231

Technology Center 2100

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. European Patent EP 0793 184 A2, published September 3, 1997;
2. U.S. Patent No. 5,774,661, dated June 30, 1998;
3. Workflow Management Coalition, (1996) "Workflow Management Coalition Workflow Standard-Interoperability",
<http://www.aiim.org/wfmc/mainframe.htm>: 13-14;

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on August 29, 2002.

Dated: August 29, 2002

Mishelle Mustafa

09/09/2002 RECEIVED 00000105 500510 09433427

01 SEP 03 09:00 AM

4. E.M. Verharen, et al., (1998) "Introducing Contracting In Distributed Transactional Workflows", Proceedings of the thirty-first Hawaii International Conference on System Sciences (CAT. No. 98TB100216), Kohala Coast: 324-333 Vol. 7;

5. A. Dan, et al., (1998), "The Coyote Project: Framework for Multi-party E-Commerce", Research and Advanced Technology for Digital Libraries. Second European Conference, ECDL '98. Proceedings, Research and Advanced Technology for Digital Libraries: 873-889;

6. Yu Lei, et al., (1998), "Supporting distributed corporate planning through new coordination technologies", Proceedings Ninth International Workshop on Database and Expert Systems Applications: 559-565.

The references were cited in a Search Report received from the European Patent Office, dated June 11, 1999. Applicants are submitting copies of the above-cited references, together with a copy of the Search Report. The relevance of the above-identified references has been described in the Search Report.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(c). Applicants submit and authorize the \$180.00 official fee pursuant to § 1.17(p) to be charged to Deposit Acct. No. 50-0510/IBM.

Respectfully submitted,



William C. Roch
Registration No. 24,972

SCULLY, SCOTT, MURPHY & PRESSER
400 Garden City Plaza
Garden City, New York 11530
(516) 742-4343

WCR:ko

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
12990

In Re Application Of: **Heiko H. Ludwig, et al.**

Serial No.
09/433,427

Filing Date
November 4, 1999

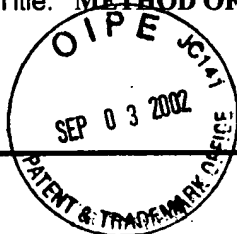
Examiner
Kevin S. Parton

Group Art Unit
2153

Title: **METHOD OF INTERCONNECTING COMPUTERS AND COMPUTER NETWORK**

RECEIVED

SEP 09 2002



Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

Technology Center 2100

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☒ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
12990

In Re Application: **Heiko H. Ludwig, et al.**

Serial No.
09/433,427

Filing Date
November 4, 1999

Examiner
Kevin S. Parton

Group Art Unit
2153

METHOD OF INTERCONNECTING COMPUTERS AND COMPUTER NETWORK



RECEIVED

SEP 09 2002

Technology Center 2100

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0510/IBM as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$180.00
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited August 29, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20281.

Signature of Person Mailing Correspondence

Mishelle Mustafa

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

William C. Roch
Signature

Dated: August 29, 2002

William C. Roch

Registration No.: 24,972

SCULLY, SCOTT, MURPHY & PRESSER

400 Garden City Plaza
Garden City, NY 11530
(516) 742-4343
WCR:ko

CC: